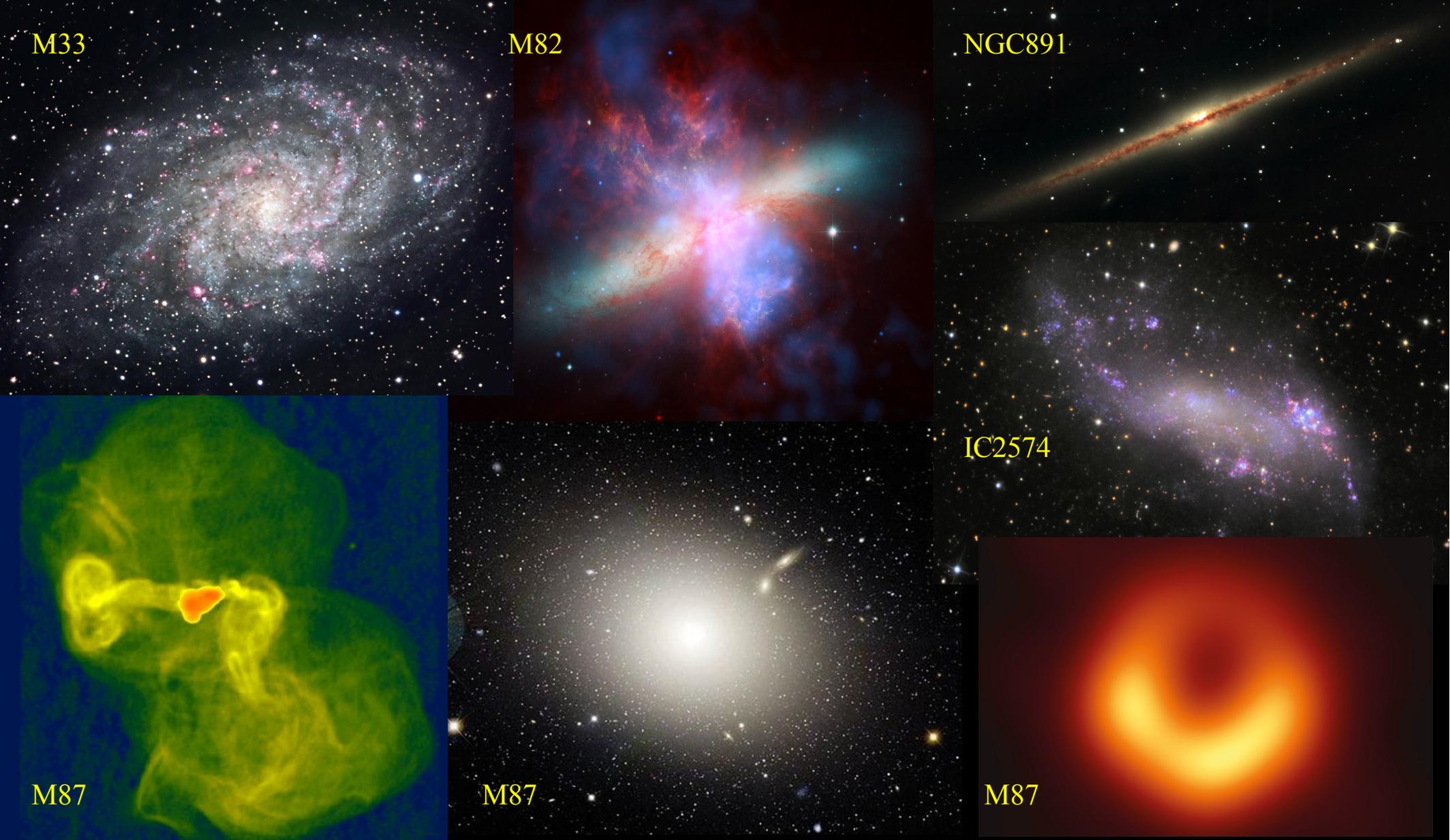


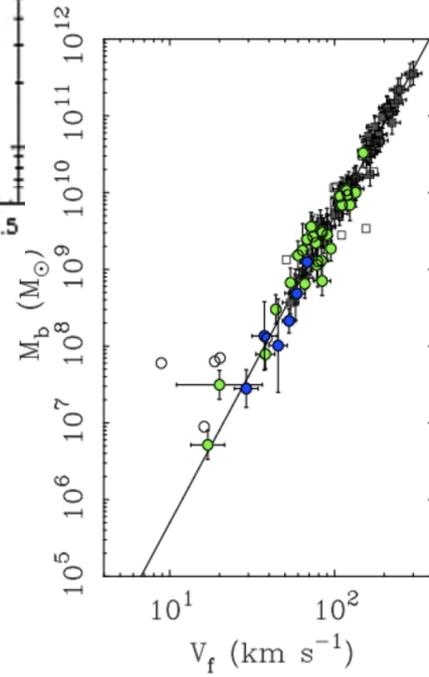
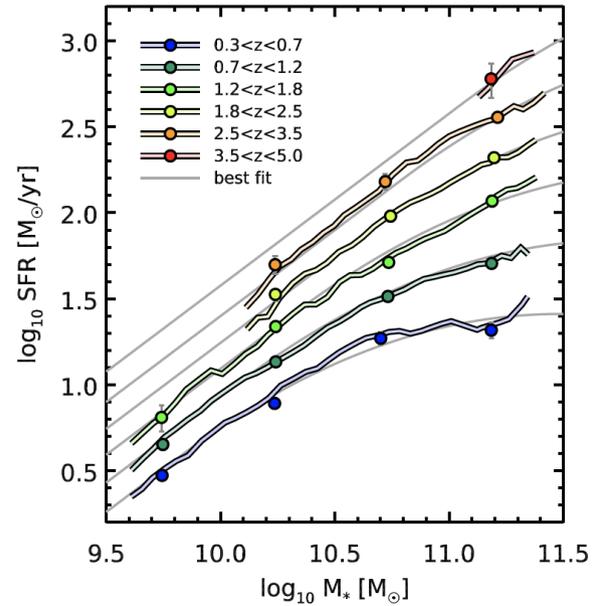
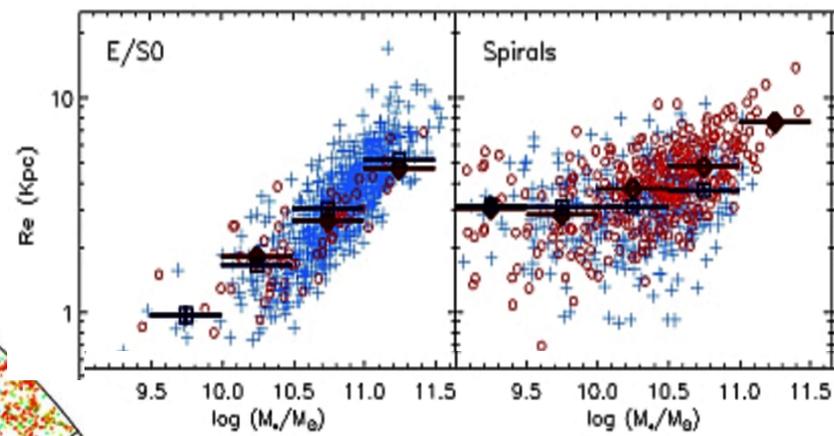
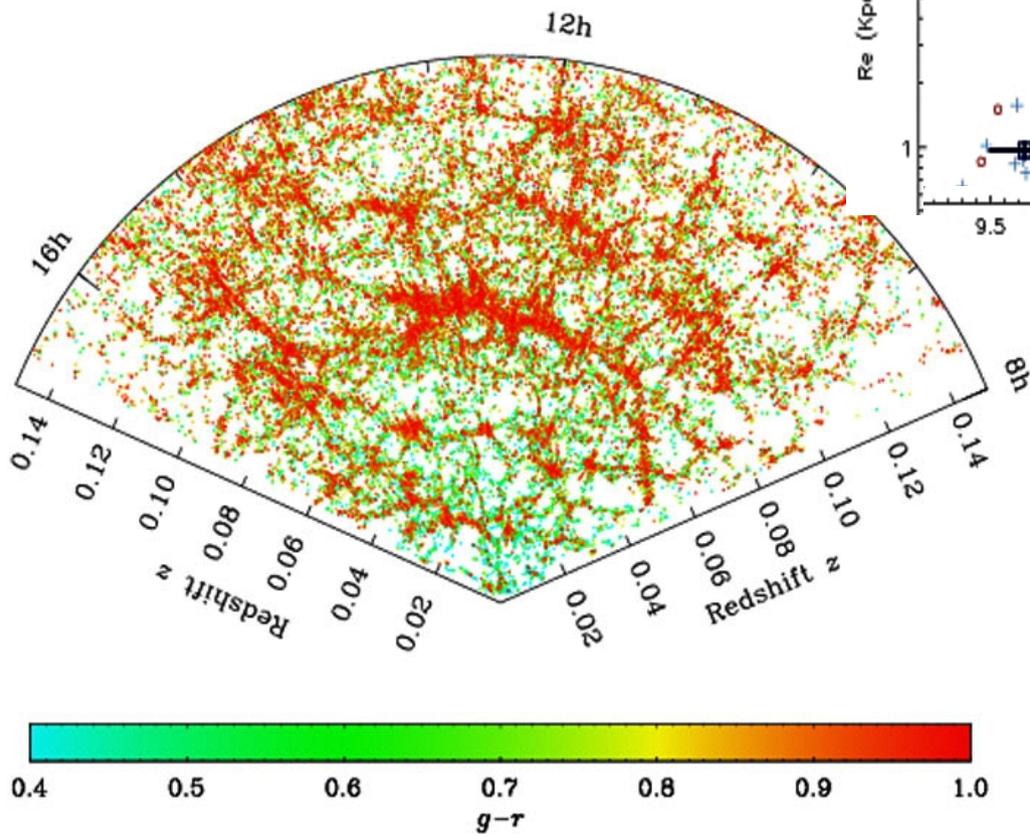
*KICC 10th Anniversary Symposium
Cambridge, September 2019*

Outstanding problems in galaxy formation

Simon White, Max Planck Institute for Astrophysics



Galaxies are diverse, complex, multi-scale and evolving systems



Galaxies are diverse, complex, multi-scale and evolving systems

Their population shows regularities with varying scatter/evolution

Galaxy formation is an insoluble problem

Galaxy formation is an insoluble problem

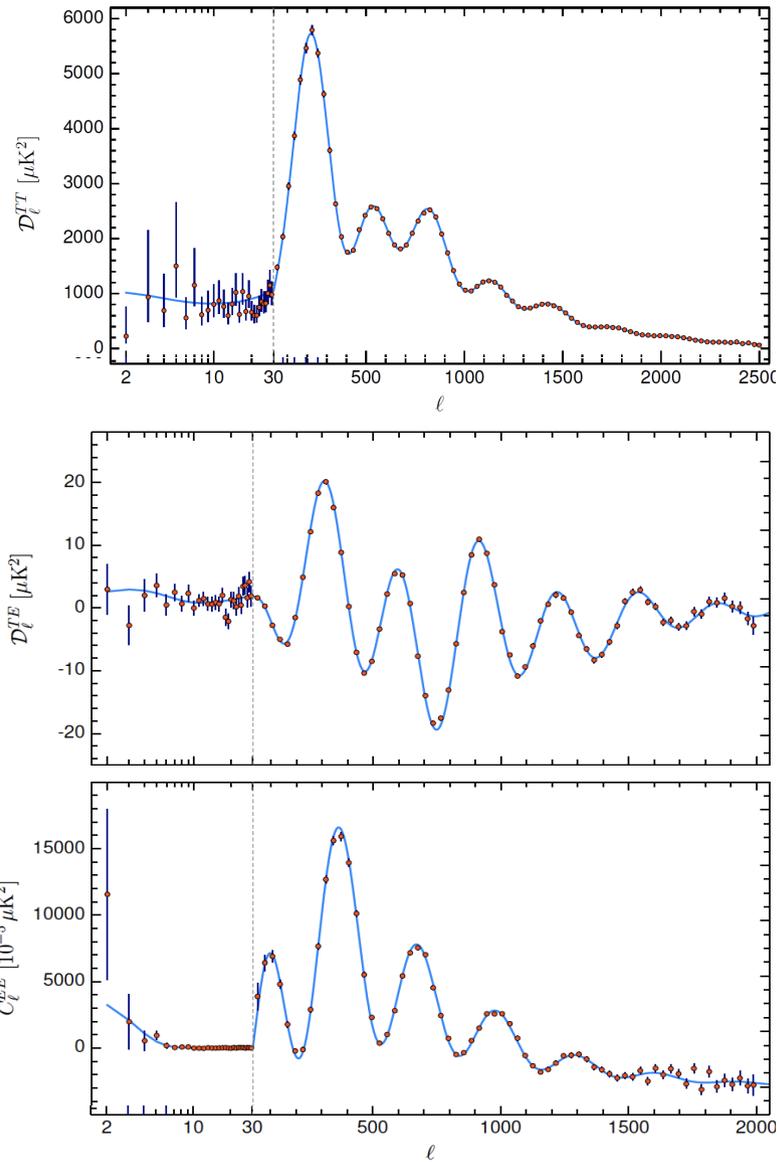
or

Galaxy formation is a solved problem

Galaxy formation is an insoluble problem
or
Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos growing by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter

The astrophysical evidence for pre-existing dark matter

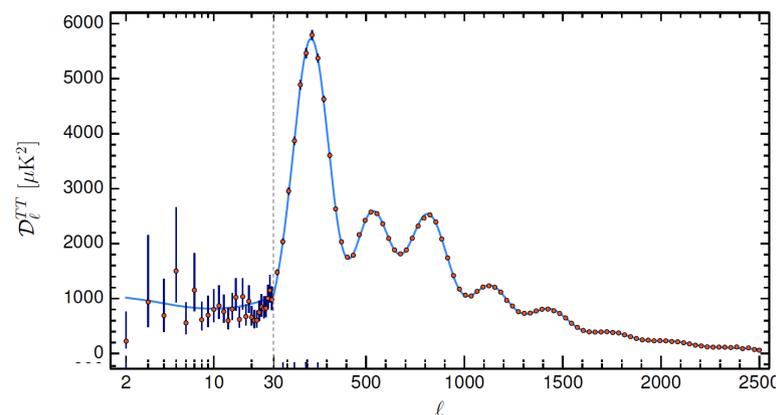


Planck Collaboration 2018

Parameter	Combined
$\Omega_b h^2$	0.02233 ± 0.00015
$\Omega_c h^2$	0.1198 ± 0.0012
$100\theta_{MC}$	1.04089 ± 0.00031
τ	0.0540 ± 0.0074
$\ln(10^{10} A_s)$	3.043 ± 0.014
n_s	0.9652 ± 0.0042
$\Omega_m h^2$	0.1428 ± 0.0011
H_0 [km s ⁻¹ Mpc ⁻¹]	67.37 ± 0.54
Ω_m	0.3147 ± 0.0074
Age [Gyr]	13.801 ± 0.024
σ_8	0.8101 ± 0.0061
$S_8 \equiv \sigma_8 (\Omega_m/0.3)^{0.5}$	0.830 ± 0.013
z_{re}	7.64 ± 0.74
$100\theta_*$	1.04108 ± 0.00031
r_{drag} [Mpc]	147.18 ± 0.29

- Results from a single instrument (Planck/HFI)
- No local/low-redshift data are used
- Linear perturbation of a homogeneous medium
- No exotic/HE physics needed to set pattern
- Outside modified gravity regime
- Precise results applying to the whole visible Universe rather than some subregion

The astrophysical evidence for pre-existing dark matter

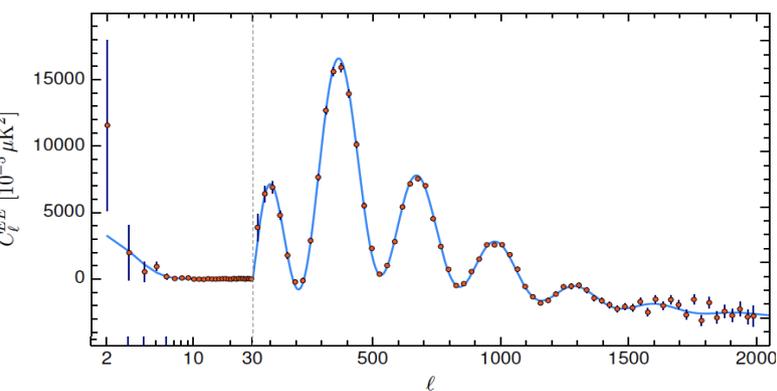


Parameter	Combined
$\Omega_b h^2$	0.02233 ± 0.00015
$\Omega_c h^2$	0.1198 ± 0.0012
$100\theta_{MC}$	1.04089 ± 0.00031
τ	0.0540 ± 0.0074
$\ln(10^{10} A_s)$	3.043 ± 0.014
n_s	0.9652 ± 0.0042
$\Omega_m h^2$	0.1428 ± 0.0011

These are precisely measured initial conditions, but they need extrapolation to the scales which form galaxies



$\ln(10^{10} A_s)$	3.043 ± 0.014
$100\theta_*$	1.04108 ± 0.00031
r_{drag} [Mpc]	147.18 ± 0.29

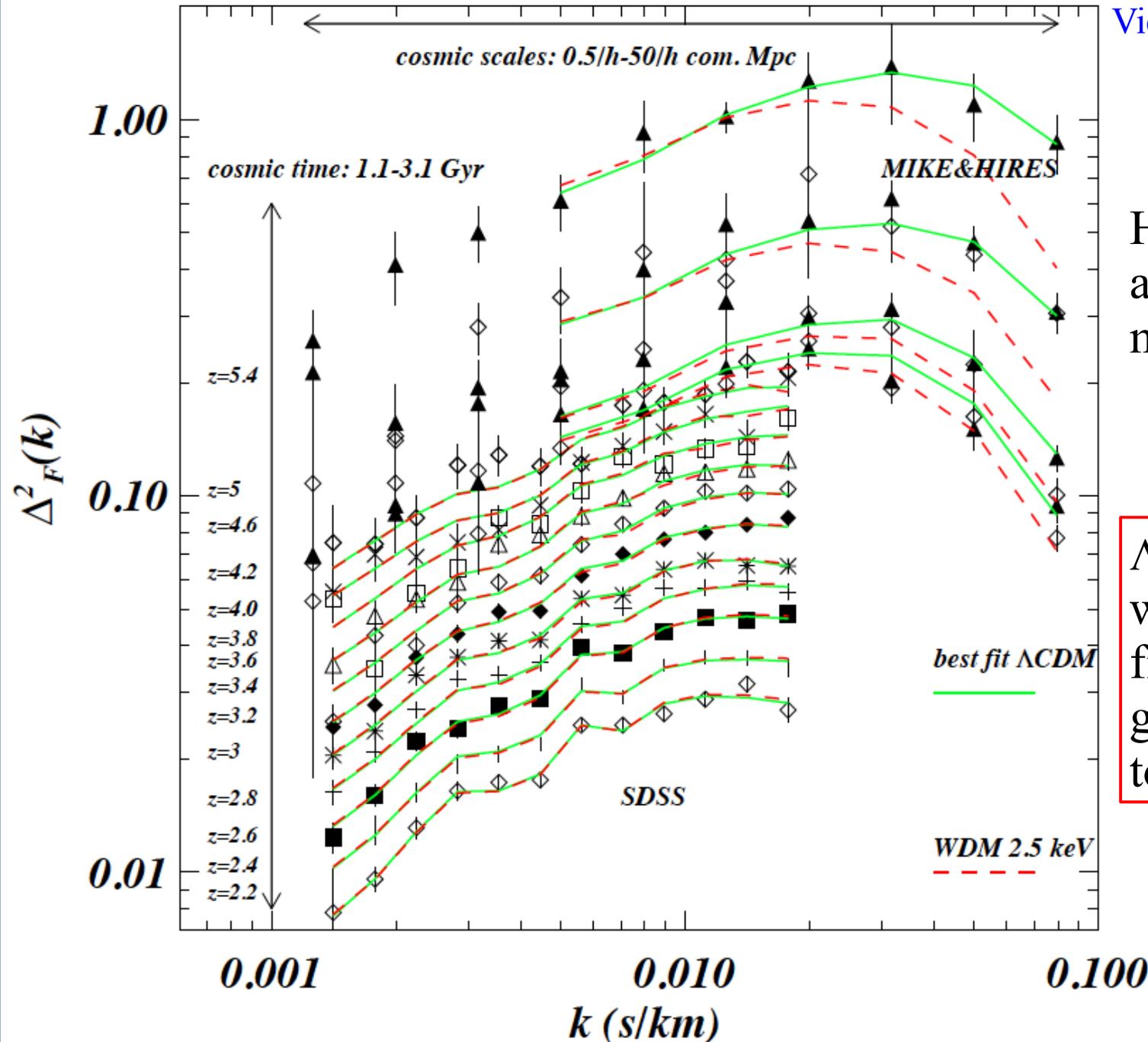


- Results from a single instrument (Planck/HFI)
- No local/low-redshift data are used
- Linear perturbation of a homogeneous medium
- No exotic/HE physics needed to set pattern
- Outside modified gravity regime
- Precise results applying to the whole visible Universe rather than some subregion

Planck Collaboration 2018

Lyman α forest spectra compared to Λ CDM predictions

Viel, Becker, Bolton & Haehnelt
2013



High-resolution Keck
and Magellan spectra
match Λ CDM up to
 $z = 5.4$

Λ CDM initial conditions
with CMB parameters
fit structure in the pre-
galactic medium down
to dwarf galaxy scales

Galaxy formation is an insoluble problem

or

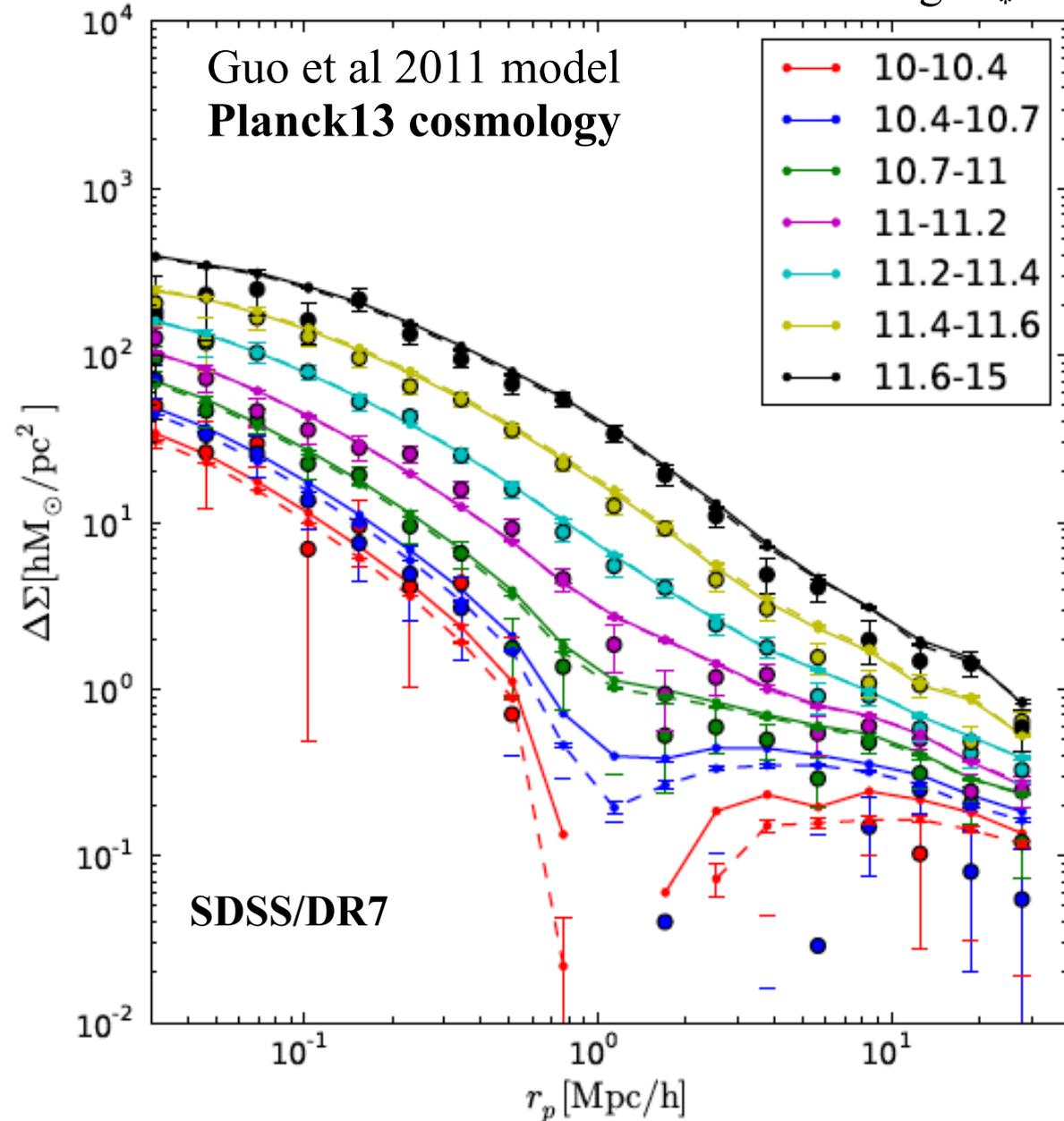
Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos growing by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter

Subhalo Abundance Matching and Semi-analytic models assume this and tune a more (SAM) or less (SHAM) complicated relation between galaxy properties and subhalo history to fit observation.

Average mass profiles around bright galaxies

Wang et al (2016)



The points are measured mass profiles around the central galaxies of galaxy groups

Top to bottom goes from rich galaxy clusters to poor groups

The lines are the predicted mass profiles about such groups in the Millennium Simulation

Parameters were fit using galaxy *abundances* only. **No** parameters adjusted to fit clustering

The simulation matches the mass distribution around galaxies even in regions where no light is seen!

Galaxy formation is an insoluble problem

or

Galaxy formation is a solved problem

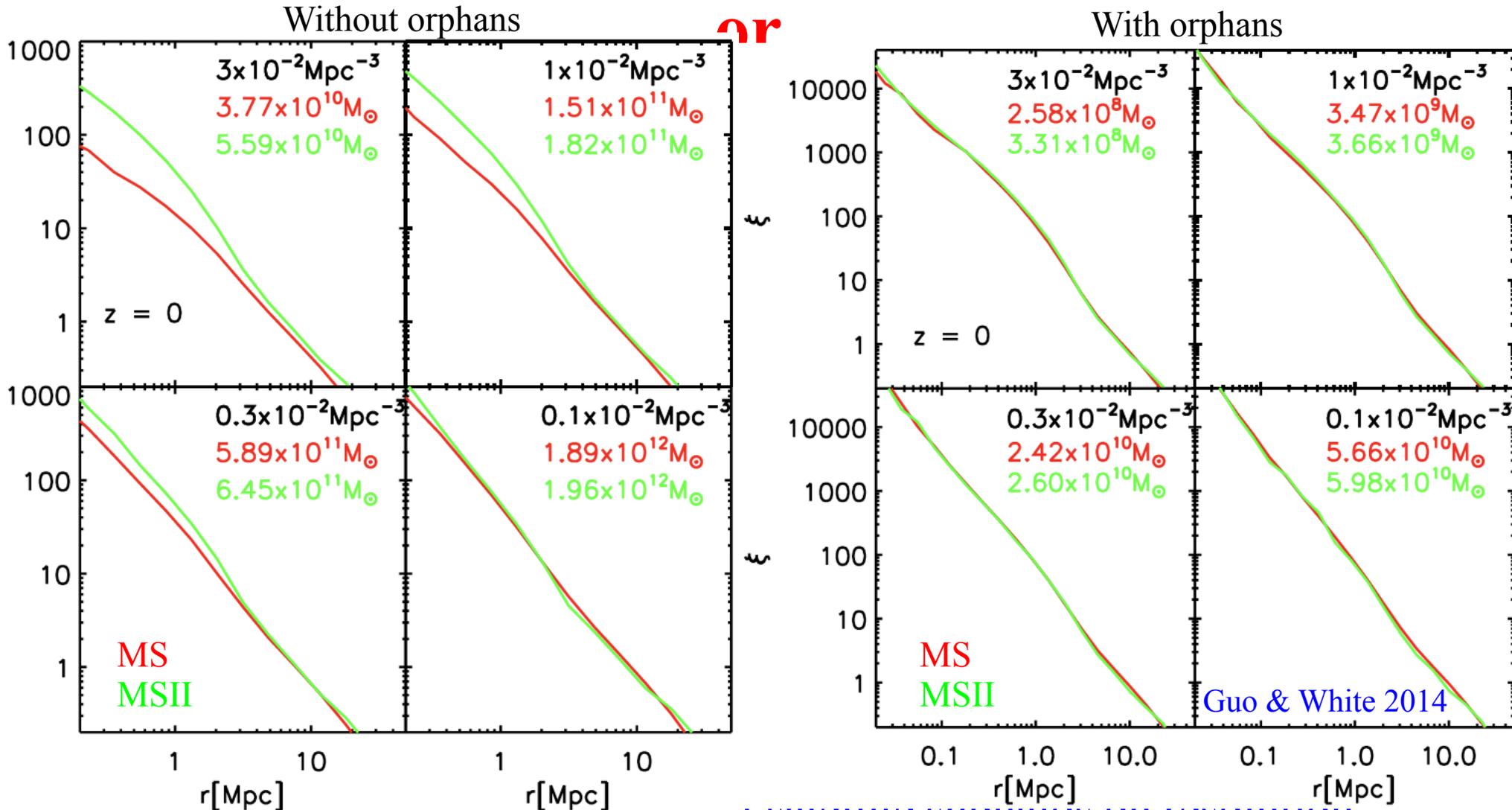
- Galaxies form as gas cools and condenses at the centres of a population of massive halos growing by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter

Subhalo Abundance Matching and Semi-analytic models assume this and tune a more (SAM) or less (SHAM) complicated relation between galaxy properties and subhalo history to fit observation.

Main outstanding issues are:

- I. The dependence of the survival of satellite subhalos on resolution, integration accuracy, and baryon effects – the “orphan” problem

Galaxy formation is an insoluble problem



• the dependence of the survival of satellite subhalos on resolution, integration accuracy, and baryon effects – the “orphan” problem

Galaxy formation is an insoluble problem or Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos growing by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter

Subhalo Abundance Matching and Semi-analytic models assume this and tune a more (SAM) or less (SHAM) complicated relation between galaxy properties and subhalo history to fit observation.

Main outstanding issues are:

- I. The dependence of the survival of satellite subhalos on resolution, integration accuracy, and baryon effects – the “orphan” problem
- II. The number of properties of subhalo histories needed to predict their galaxy content to the required precision – the “assembly bias” problem

Galaxy formation is an insoluble problem

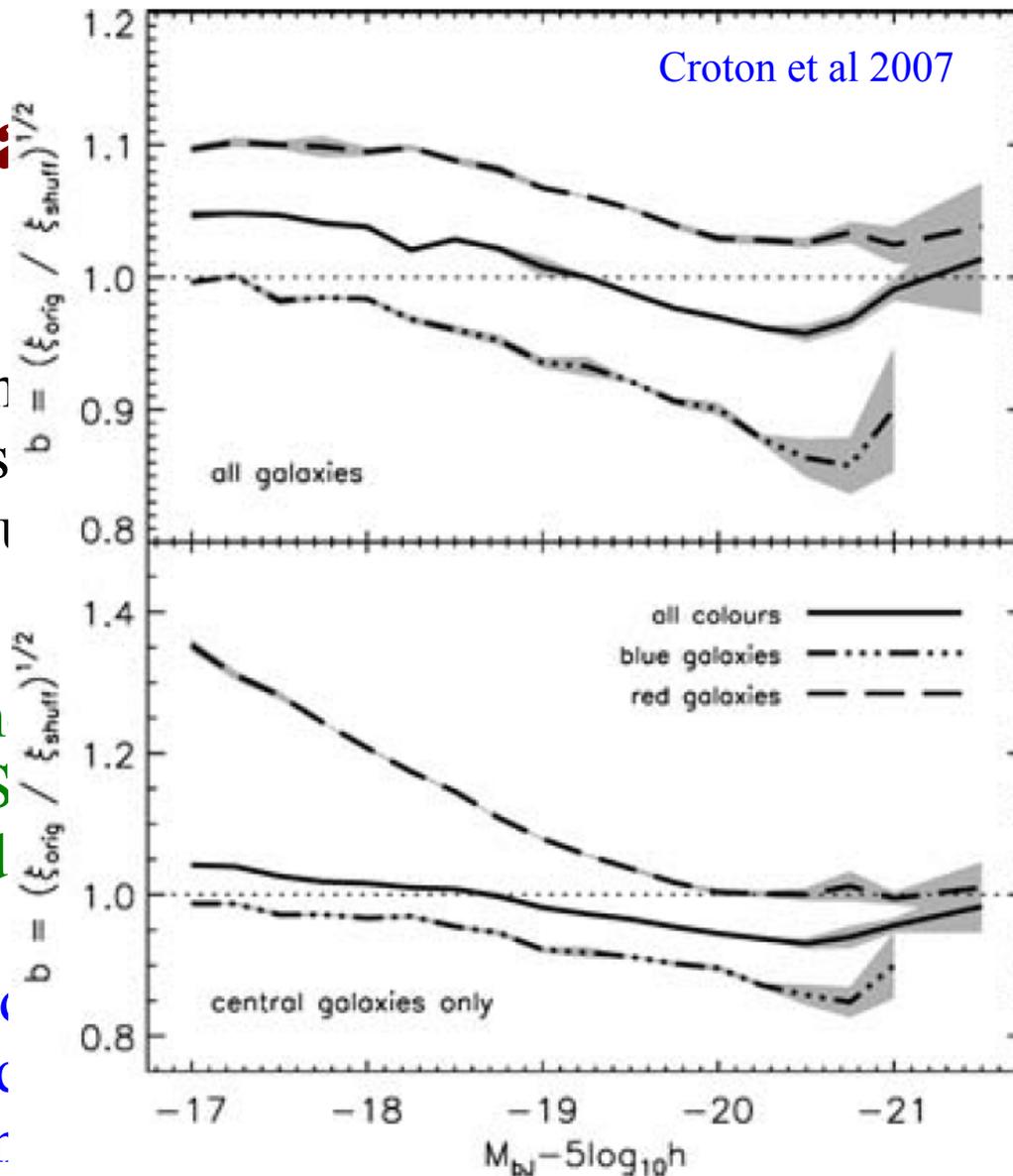
Galaxy

- Galaxies form massive halos initially near-1

Subhalo Abundance
tune a more (S
properties and

Main outstanding
I. The dependence
integrator

II. The number of properties of subhalo histories needed to predict their galaxy content to the required precision – the “assembly bias” problem



problem

s of a population of
of fluctuations in an
matter

Is assume this and
on between galaxy

on resolution,
an” problem

Galaxy formation is an insoluble problem

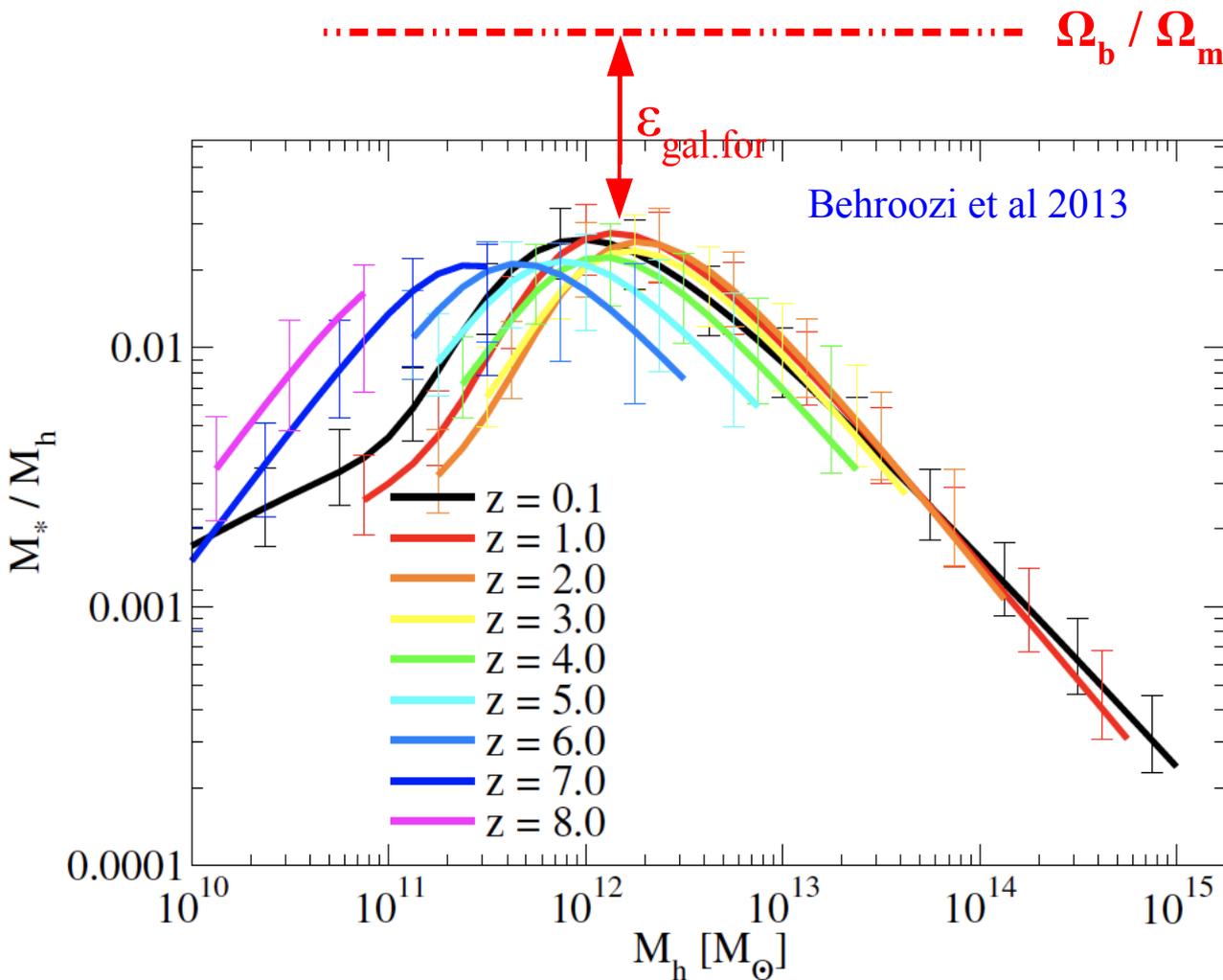
or

Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos as these grow by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter
- The efficiency of galaxy formation is limited by feedback that is most effective at low and at high halo mass. Different astrophysical processes are required in the two cases.

Galaxy formation is an insoluble problem or Galaxy formation is a solved problem

- Galaxies massive in an initial...
- The effective processes are required...



a population of
of fluctuations
matter
that is most
cal processes

Galaxy formation is an insoluble problem or Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos as these grow by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter
- The efficiency of galaxy formation is limited by feedback that is most effective at low and at high halo mass. Different astrophysical processes are required in the two cases.

At low mass: Reionization heating; Star-formation-driven winds

At high mass: Inefficient cooling; AGN feedback

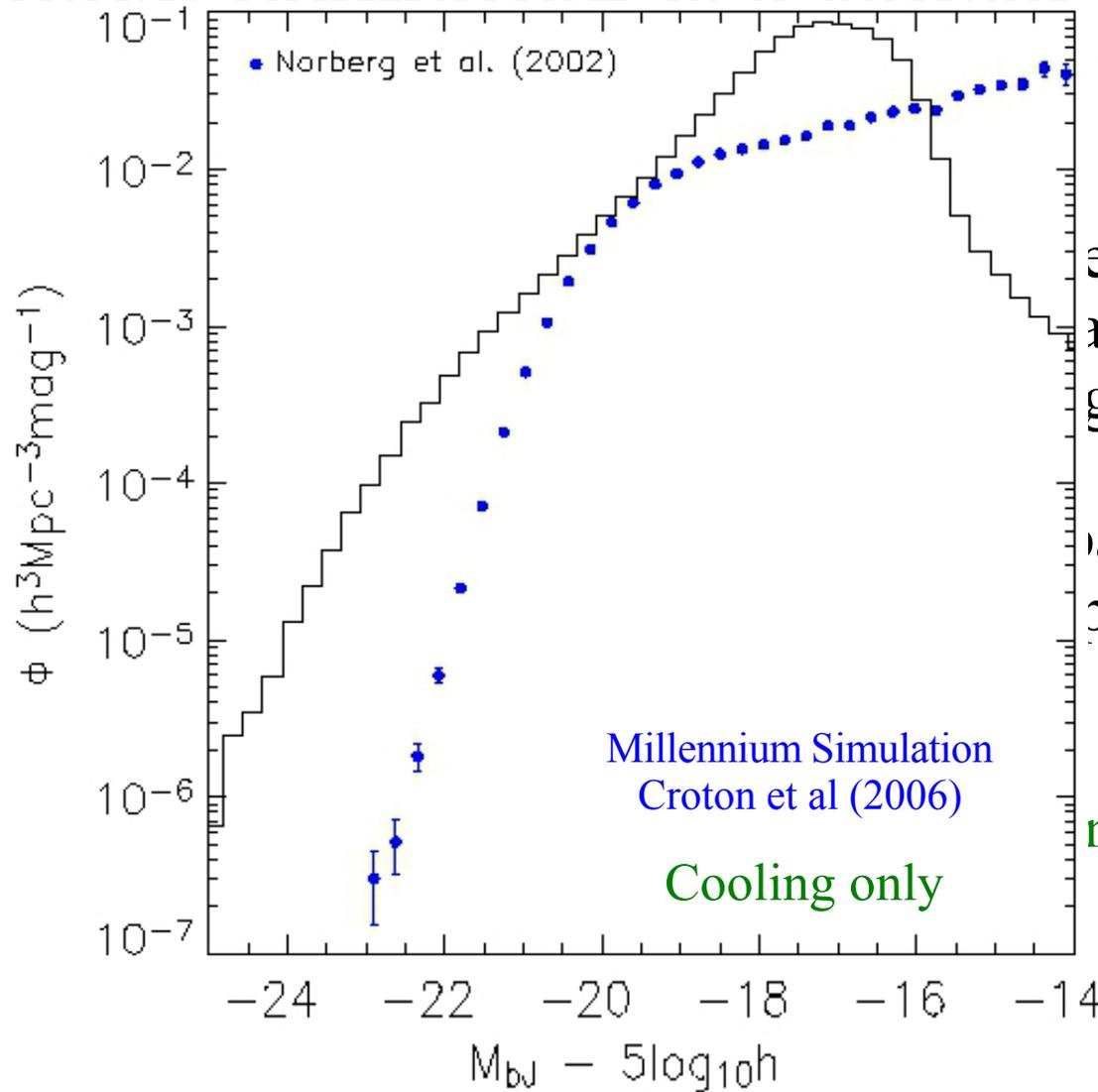
Galaxy formation is an insoluble problem

or

Galaxy formation is a solved problem

- Galaxies form in an initial burst of massive halos
- The efficiency of galaxy formation is most effective at high masses
- are required to match observations

At low masses
At high masses



of a population of
of fluctuations
of dark matter

back that is most
physical processes

in winds

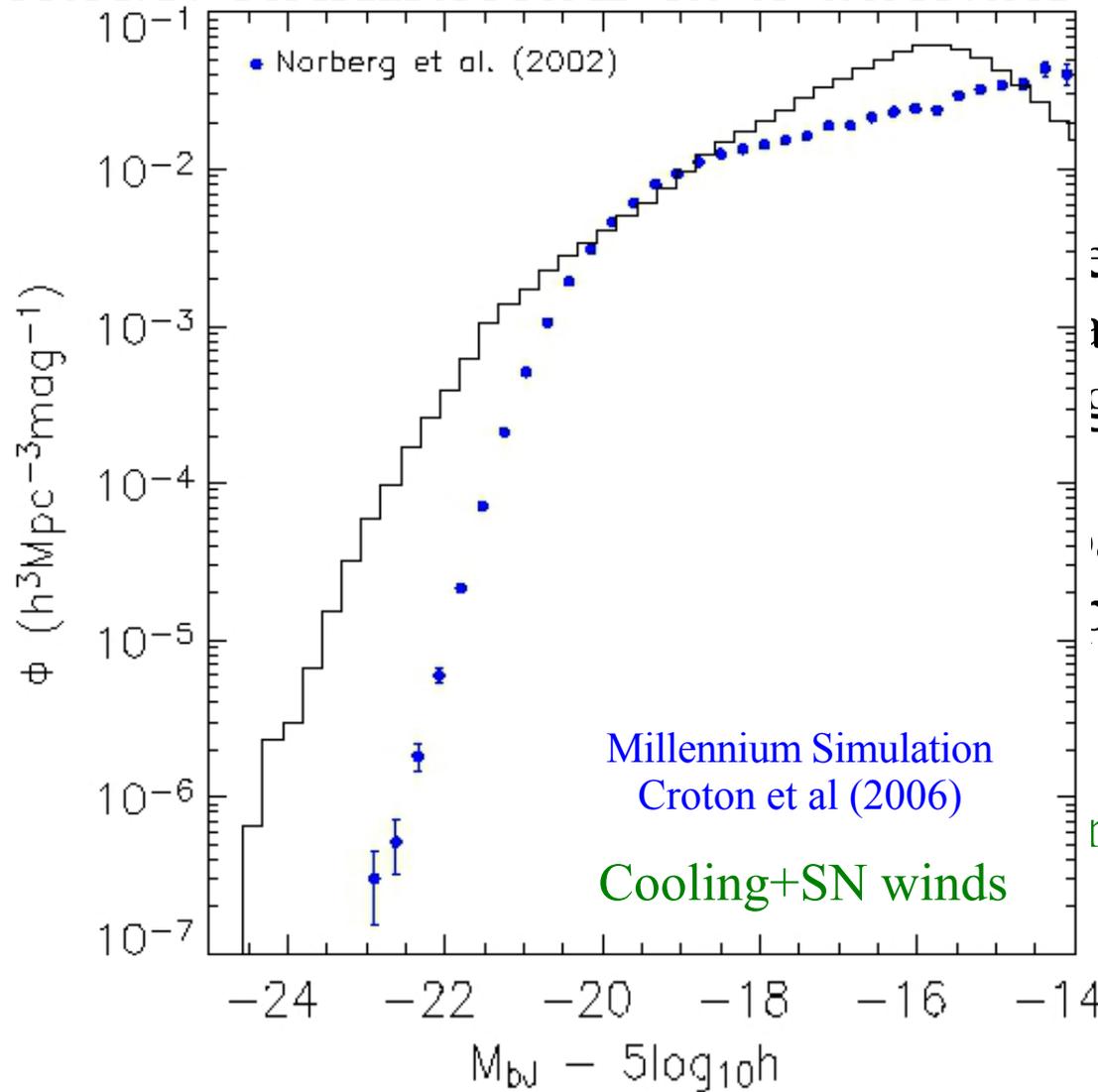
Galaxy formation is an insoluble problem

or

Galaxy formation is a solved problem

- Galaxies form in an initial burst of massive halos
- The efficiency of galaxy formation is most effective at high masses
- are required to match observations

At low masses
At high masses



of a population of
of fluctuations
of dark matter

back that is most
physical processes

in winds

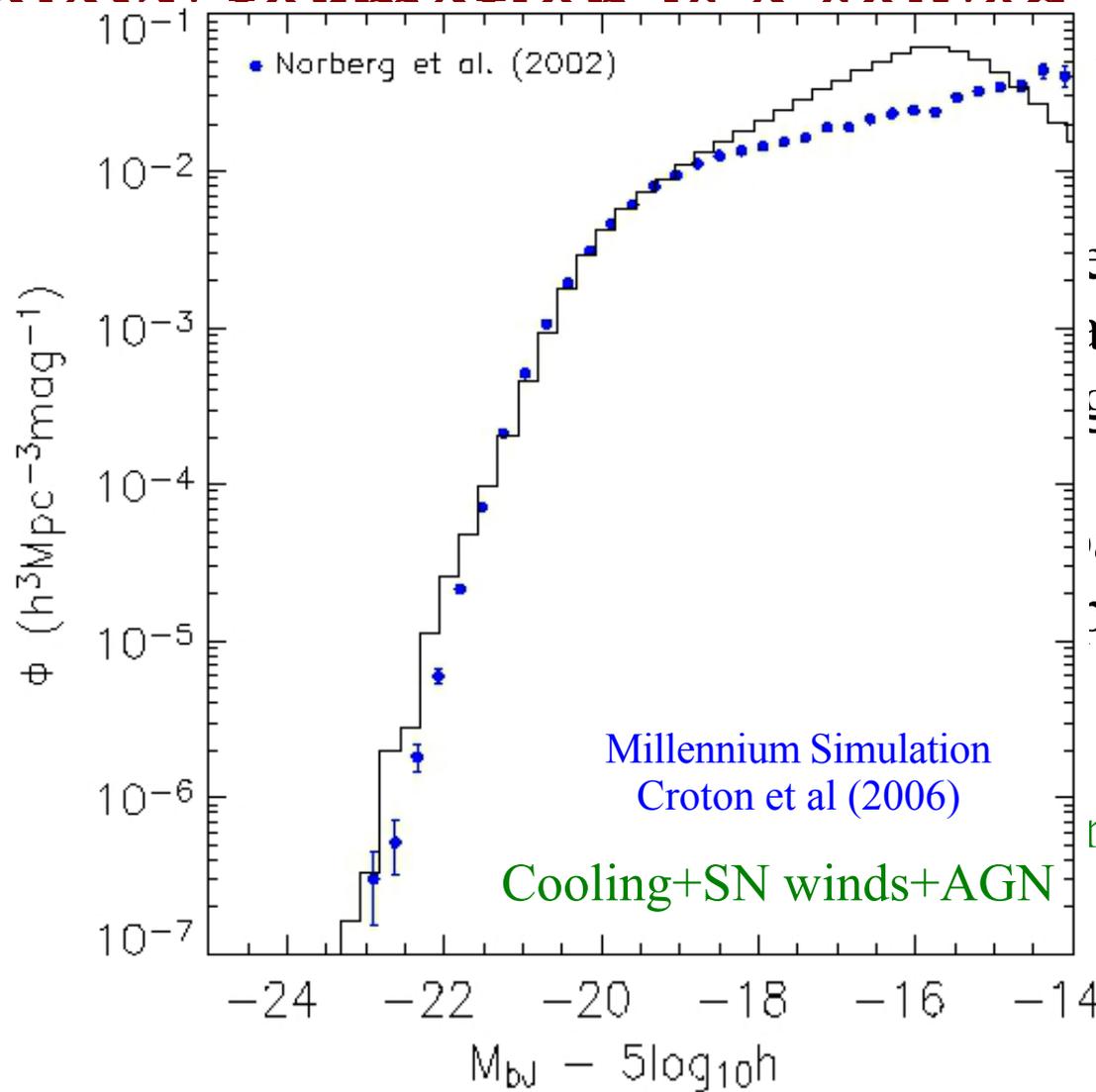
Galaxy formation is an insoluble problem

or

Galaxy formation is a solved problem

- Galaxies form in an initial burst of massive halos
- The efficiency of galaxy formation is most effective at high masses

At low masses
At high masses



of a population of
of fluctuations
of dark matter

back that is most
physical processes

in winds

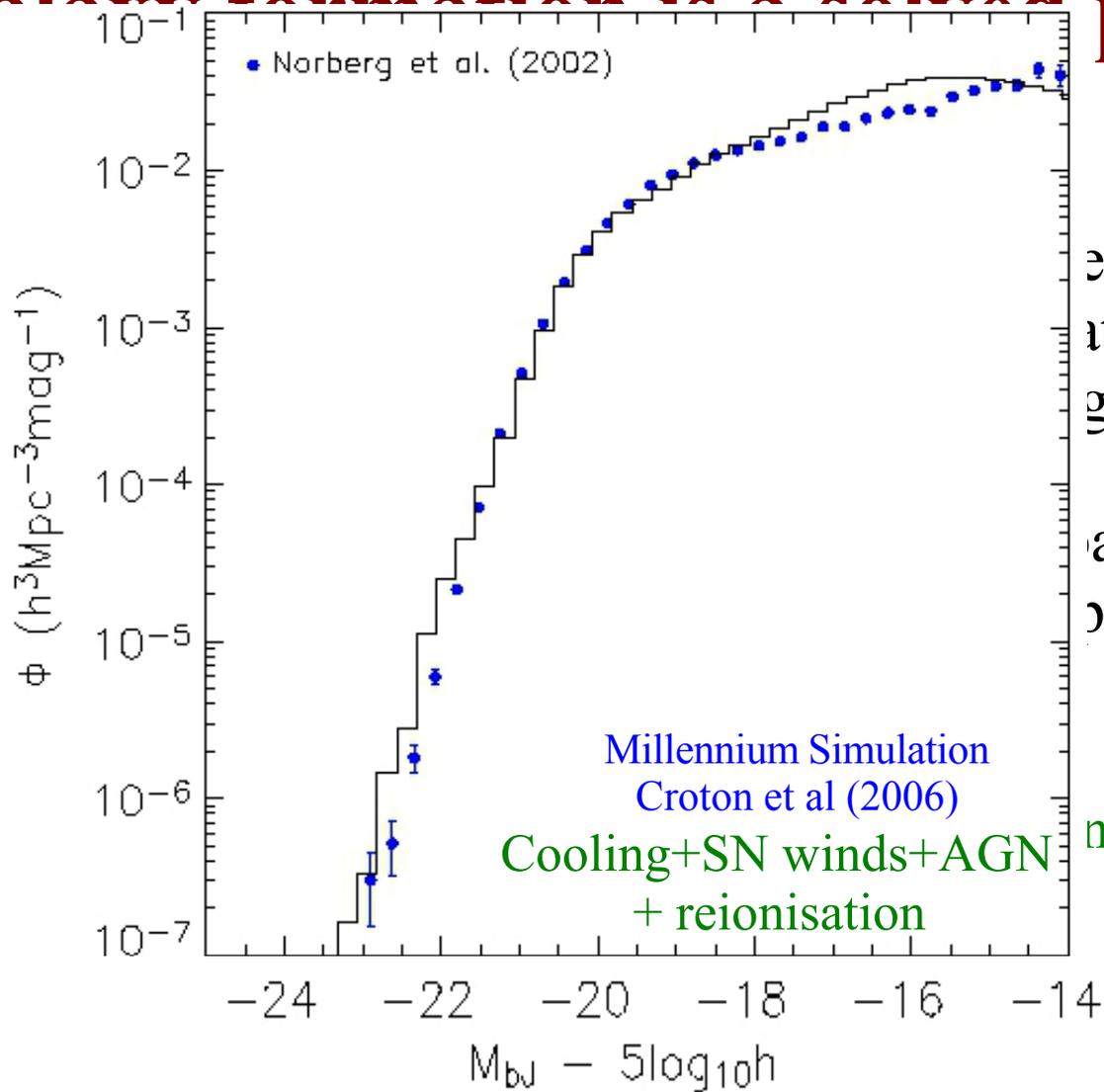
Galaxy formation is an insoluble problem

or

Galaxy formation is a solved problem

- Galaxies form in an initial burst of massive halos
- The efficiency of star formation is most effective at low masses and requires physical processes

At low masses
At high masses



• The efficiency of star formation is most effective at low masses and requires physical processes

• The efficiency of star formation is most effective at low masses and requires physical processes

• The efficiency of star formation is most effective at low masses and requires physical processes

Galaxy formation is an insoluble problem or Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos as these grow by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter
- The efficiency of galaxy formation is limited by feedback that is most effective at low and at high halo mass. Different astrophysical processes are required in the two cases.

At low mass: Reionization heating; Star-formation-driven winds

At high mass: Inefficient cooling; AGN feedback

Main outstanding issues:

- I. Mechanical/radiative feedback, B-fields/cosmic rays, ejection/recycling
- II. Can “subgrid” processes be sufficiently well/uniquely characterised?

Galaxy formation is an insoluble problem

or

Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos as these grow by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter
- The efficiency of galaxy formation is limited by feedback that is most effective at low and at high halo mass. Different astrophysical processes are required in the two cases.
- The sizes and internal structure of galaxies are regulated primarily by the generation of angular momentum and its transfer between components.

Galaxy formation is an insoluble problem or Galaxy formation is a solved problem

- Galaxies form as gas cools and condenses at the centres of a population of massive halos as these grow by gravitational amplification of fluctuations in an initially near-uniform distribution of pre-existing dark matter
- The efficiency of galaxy formation is limited by feedback that is most effective at low and at high halo mass. Different astrophysical processes are required in the two cases.
- The sizes and internal structure of galaxies are regulated primarily by the generation of angular momentum and its transfer between components.

Tidal torques on protogalaxies.

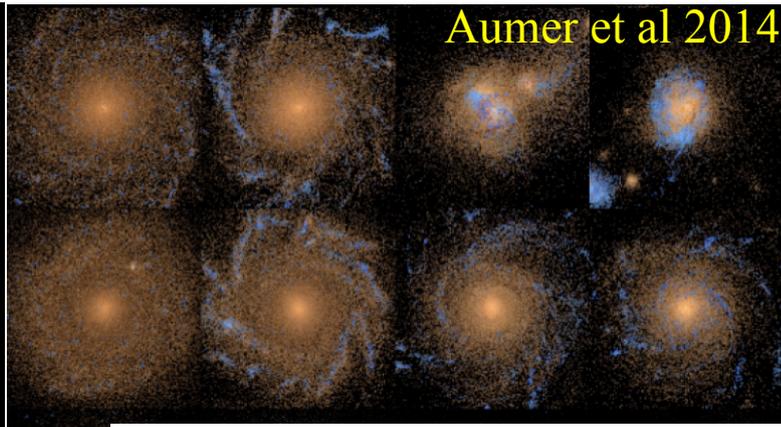
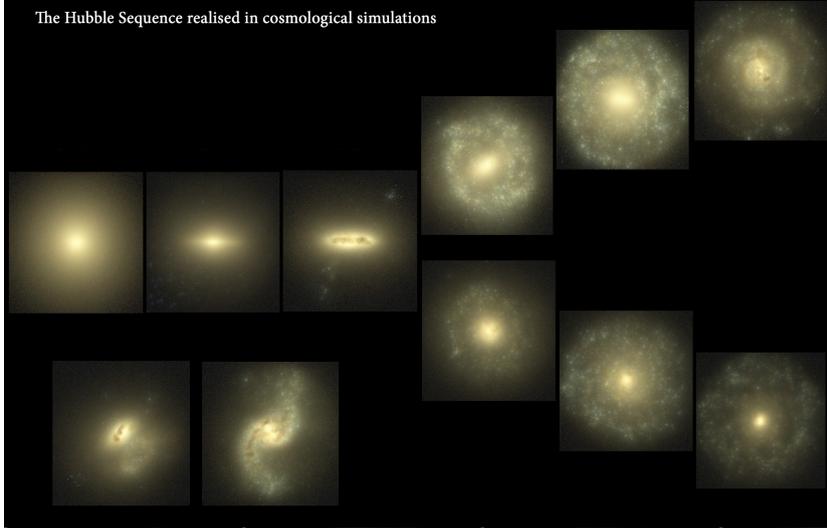
Disk formation and instability

(Lack of) loss in winds, transfer in galactic fountains

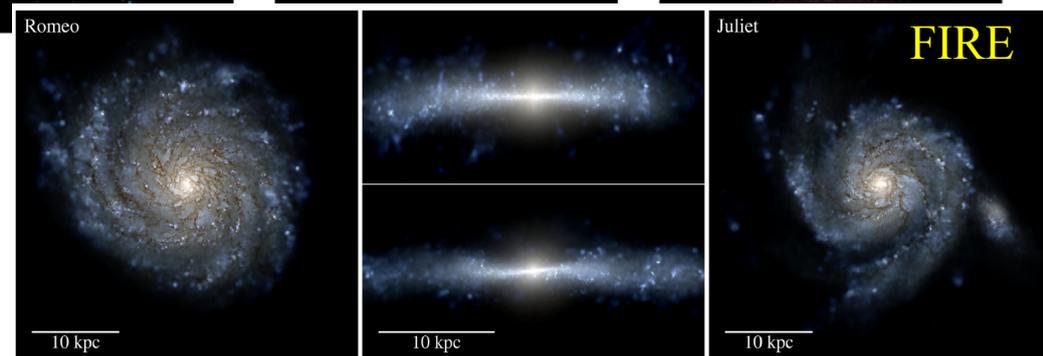
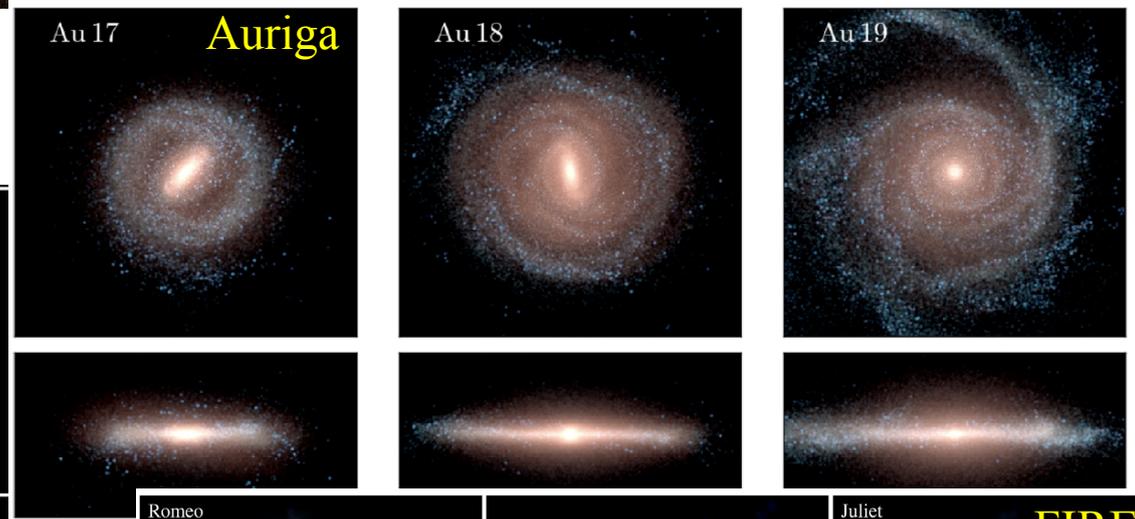
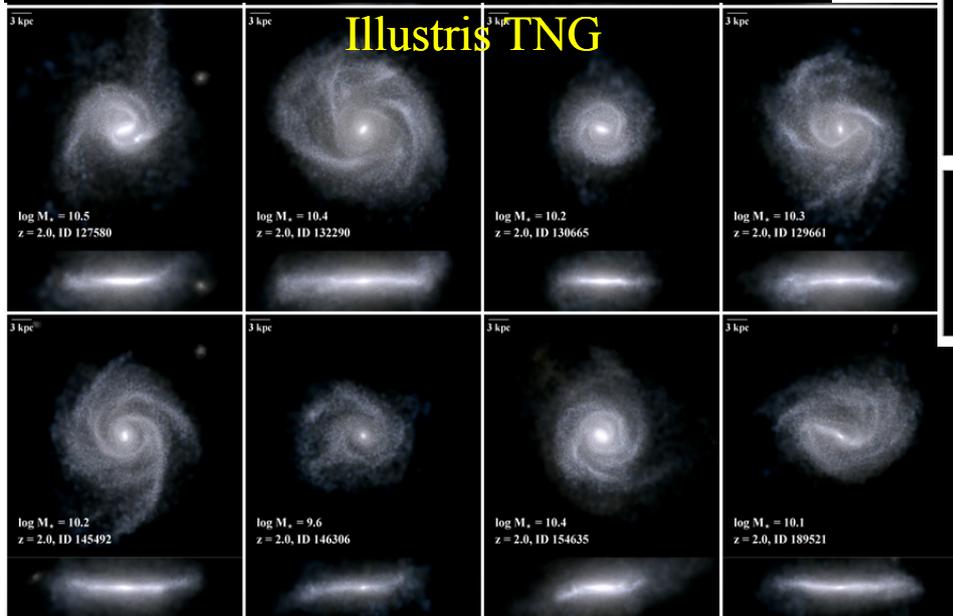
Randomisation in mergers, feeding of AGN

The Eagle Simulations

EVOLUTION AND ASSEMBLY OF GALAXIES AND THEIR ENVIRONMENTS
The Hubble Sequence realised in cosmological simulations



Simulating the structure of galaxies



Recent cosmological (magneto)hydrodynamical simulations reproduce many aspects of the observed internal structure of galaxies....

The Eagle Simulations

EVOLUTION AND ASSEMBLY OF GALAXIES AND THEIR ENVIRONMENTS
The Hubble Sequence realised in cosmological simulations

Aumer et al 2014

Simulating the structure of galaxies

- ...but they differ strongly in their treatment of the ISM, of star formation, of feedback, of nuclear BH's...
- They do not include processes known to be significant (cosmic rays/B-fields, binary evolution, dust evolution)
- They make different predictions for properties not used as constraints (gas/bar fractions, CGM/ ISM structure)
- They are not yet checked across the full range of galaxy masses and environments.

FIRE

Recent cosmological (magneto)hydrodynamical simulations reproduce many aspects of the observed internal structure of galaxies....

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas
- Phase structure of the ISM/CGM/IGM: role of B-fields, cosmic rays, dust

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas
- Phase structure of the ISM/CGM/IGM: role of B-fields, cosmic rays, dust
- SMBH formation and fuelling

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas
- Phase structure of the ISM/CGM/IGM: role of B-fields, cosmic rays, dust
- SMBH formation and fuelling
- Launching of SF/starburst/AGN winds: the mechanisms of mass loading

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas
- Phase structure of the ISM/CGM/IGM: role of B-fields, cosmic rays, dust
- SMBH formation and fuelling
- Launching of SF/starburst/AGN winds: the mechanisms of mass loading
- Inflow/outflow interactions: galactic fountains, IGM metals

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas
- Phase structure of the ISM/CGM/IGM: role of B-fields, cosmic rays, dust
- SMBH formation and fuelling
- Launching of SF/starburst/AGN winds: the mechanisms of mass loading
- Inflow/outflow interactions: galactic fountains, IGM metals
- Mergers: the genealogy of the 1%, restructuring through major(?) mergers

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas
- Phase structure of the ISM/CGM/IGM: role of B-fields, cosmic rays, dust
- SMBH formation and fuelling
- Launching of SF/starburst/AGN winds: the mechanisms of mass loading
- Inflow/outflow interactions: galactic fountains, IGM metals
- Mergers: the genealogy of the 1%, restructuring through major(?) mergers
- Environment effects: nature vs nurture, stripping/harassment/strangulation

A selection of outstanding issues:

- Star formation: IMF as a function of Z , p , ρ , $\langle v^2 \rangle$, B ...; GRB, GW precursors
- Spirals/bars/warps: internal versus external driving, the role of gas
- Phase structure of the ISM/CGM/IGM: role of B-fields, cosmic rays, dust
- SMBH formation and fuelling
- Launching of SF/starburst/AGN winds: the mechanisms of mass loading
- Inflow/outflow interactions: galactic fountains, IGM metals
- Mergers: the genealogy of the 1%, restructuring through major(?) mergers
- Environment effects: nature vs nurture, stripping/harassment/strangulation

(Multiple) phenomenological models have been suggested for all of these
Convincing *ab initio* physical models are available for very few
Mass and detailed assembly history determine their relative importance

Epistemology for complex systems

(galaxy formation, climate change, ecology, macro-economics, brain function)

- Agreement of the galaxy population in a modern cosmological hydro-dynamical simulation with (aspects of) real populations may contribute rather little to our knowledge/understanding of galaxy formation, since
 - part of the agreement is due to calibration/tuning
 - simulations with *different* subgrid models often agree equally well
 - unexamined (but linked) aspects often disagree with observation
 - better resolution or subgrid modelling may ruin the agreement
- It is important to understand *why* simulation and observation agree. Intuition is often helped by models which isolate individual processes
- Stronger conclusions can often be drawn from showing that some aspects of the observations *cannot* be fit, implying e.g. that
 - the integration scheme is insufficiently accurate, or
 - the subgrid models incorrectly represent the astrophysics, or
 - critical processes are not yet included, or
 - Λ CDM is wrong